



Anti-Bribery Due Diligence Questionnaire For Individuals

Section 1. Basic Information

Individual responding (print name)

Date

Telephone number

Address

A. Describe Services to be provided

B. Describe Commercial Terms

Section 2. Background of Consultant/Agent/Broker/Etc.

A. Country of Citizenship

B. Passport or other identification number (if any)

C. Describe your background as well as an outline of your business experience and qualifications relevant to the proposed project(s) or business activity (a copy of your resume or curriculum vitae is fine)

D. Names of any other individuals or companies you expect to use on this project. Note-BorgWarner must give prior approval to such persons or entities and may request that any such persons or companies must complete separate questionnaires

E. For the persons or companies identified in Section 2. D. above, please provide a brief background description and an outline of their business experience and qualifications.



F. Please provide the names of some of your past and current clients

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G. Names of companies in which you are more than a 25% Shareholder and a description of the companies' business activity.

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H. Please provide the details of any investigation, charge, judgment, or conviction against you for any actual or alleged criminal act, including fraud, misrepresentation, bribery or other similar activities, or any civil act involving such allegations, as well as any ongoing investigation with respect to matters of such nature.

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**Section 3. Relationship to Public Officials, Including State-Owned Enterprises**

For purposes of answering the following questions, public official(s) refer to any officer or employee of a foreign, national, local or municipal government whether elected or appointed (this includes officials holding a legislative, administrative or judicial position of any kind); any person acting in an official capacity or exercising a public function for or on behalf of any government or its instrumentality such as a professional working for a public health agency; any officer or employee of a public international organization such as the UN or the World Bank; political parties, their officials and candidates for public office; or employees of state-owned enterprises or state controlled commercial enterprises.

A. Are you a business person who also has a status as a public official, either currently or within the last three years. If the answer is yes, please provide the name of the position held and the government agency/department.

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B. Are you related in any way (by blood, marriage or otherwise) to a public official or have business relationships with a public official? If the answer is yes, please provide an explanation of the relationship.

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C. Does any public official have any ownership or financial interest in your company? If the answer is yes, please provide an explanation of the relationship.

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D. Do you sell any services to any office of (i) an office or agency of a national, regional or local government; (ii) a public international organization; or (c) a Government-owned (in whole or part) or controlled commercial enterprise. If the answer is yes, please provide an explanation of the relationship.

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E. Have you provided any payments, or other compensation, gifts or anything of value whether directly or through intermediaries, to any public official in connection with either (i) efforts to obtain or retain business or obtain any other form of commercial advantage or (ii) interaction with Government agencies with respect to, including but not limited to, taxes, customs, regulatory approvals, and permits. If the answer is yes, please provide an explanation of the payments or other compensation.

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**Section 4. Additional Information**

A. Is it legal for you to enter into this proposed transaction? Yes \_\_\_ No \_\_\_

B. Please provide business references.

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C. Please provide any brochures or other documents you have which describe the services to be provided, or which describe your compliance with anti-bribery laws.

I understand that BorgWarner will rely on the above information in determining whether to enter into any contractual agreement with me, and incomplete or misleading information provided by me would be grounds for the immediate termination of any contractual agreement.

\_\_\_\_\_  
Signature of Individual

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Date